Financial Services IT Readiness Checklist

BMIT

Keep client trust and regulatory compliance at the center of your technology stack.

1 ✅MUST-HAVES

- [ ] Secure Wi-Fi with network segmentation
- [ ] Encrypted email + daily backups of financial records
- [ ] CRM + portfolio management system integration
- [ ] Remote access for advisors and analysts (with MFA)
- [ ] VoIP phones + call recording for compliance

2 🔒CYBERSECURITY & COMPLIANCE

- [ ] FINRA/SEC/NASAA compliance-ready data security
- [ ] Role-based access + audit trail logging
- [ ] Endpoint protection + automated patching
- [ ] DLP (Data Loss Prevention) + email encryption
- [ ] Secure file transfer tools for client documents

3 🚀GROWTH-READY IT STRATEGY

- [ ] Quarterly IT + cybersecurity compliance reviews
- [ ] Client portal login and document vault
- [ ] Quarterly IT review + technology lifecycle planning
- [ ] Automated onboarding/offboarding of staff
- [ ] Business continuity + disaster recovery testing

💡 Pro Tip: Field access is only as good as your cloud setup — prioritize mobile-first IT.

📅 Schedule a free IT consultation

👉 bostonmit.com/contact